

Agreed Action	Person Responsible	Target Date	Outcome / Progress
Briefing papers occasionally for Programme Centre : <ul style="list-style-type: none"> • Risk Management • Key projects and support areas 	-	-	Keep on work plan as reminder.
Strategic Risk Register – oversight of Maintaining Good Governance Risk following update by David O'Connor at the November 2013 meeting. At that time Substantial Assurance given but the direction of travel was declining	Chairman of Audit Committee	On-going	<ul style="list-style-type: none"> • Briefing paper presented to Management Board 4th December 2013 – agreed formal review of local standards regime and member / officer development. • Local code of governance updated and presented to Management Board 4th December 2014 – consultation to commence in February 2014 Note – New monitoring officer arrangements to be determined in light of Senior Management Review (wef April 2014).

Completed Actions			
Assurance Sutton Bridge continuity arrangements (Appendix C)	Derek McKim		September 2013
External & Internal Audit Protocol developed that clarifies expectations and makes the best use of the combined Audit resource	Lucy Pledge		September 2013
IMT Audits Update	Judith Hetherington-Smith	November 2013	November 2013
Schools update	Julie Castledine	January 2014	January 2014

Assurance Flooding responsibilities: <ul style="list-style-type: none">• Working with partner organisation• Feedback from the Flooding 2012 investigations	David Powell		Cleared.
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Possible areas for work plan

- Regulation of Investigatory Powers Act (RIPA)
- Compromise Agreements
- Annual report on complaints process / ombudsman reports

	0930 Pre Meeting 27 th January 2014	0930 Pre Meeting 31st March 2014	0930 Pre Meeting 23 rd June 2014	0930 Pre Meeting 21 st July 2014	0930 Pre Meeting 22 nd September 2014
1.	Internal Audit Progress Report	Risk Management Update			
2.	External Audit Progress Report	Counter Fraud Update			
3.	School Control Environment	Internal Audit Plan 2014/15			
4.	Counter Fraud Progress Report	Counter Fraud Annual Plan 2014/15			
5.	Money Laundering Report	Combined Assurance – Outcome Reports <ul style="list-style-type: none"> • Public Health • Performance and Governance 			
6.	Financial Procedure - Risk Management <i>(Moved to March)</i>	<ul style="list-style-type: none"> • Internal Audit Quality Assurance Improvement Programme & Plan 			
7.	Annual review of the Local Code of Governance - including Annual Governance Statement 2013 Update	<ul style="list-style-type: none"> • Future Development of Support Services – Assurance over transition / handover risks associated with this programme / project 			
8.	Combined Assurance – Outcome Reports <ul style="list-style-type: none"> • Resources and Community Safety • Communities • Children Services 	Audit Committee Workshop – PM <ul style="list-style-type: none"> • Executive Directors update • Assessment of the Committees effectiveness 			
9.	Private meeting with Auditors	<ul style="list-style-type: none"> • Development of the Committees work plan 			
		Financial Procedure - Risk Management			

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